PTO/SB/21 (03-03)

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TRANSMITTAL		Filing Date		1/2003	,		
FORM		First Named Inventor		Howard Stein			
		Art Unit	3764		-		
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PATENT & TRAD		Attorney Docket Number			ignou		
Total Number of Pages in This Submission		Attorney Docket Number	Stei	n-1			
	ENC	LOSURES (Check all that	apply)	- **			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)	ess	App of App (Ar	a Technolo peal Comr Appeals al peal Comr opeal Notic oprietary It atus Letter her Enclos entify belov	sure(s) (please w): ents and information	
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I hereby certify that this correspondence is being first class mail in an envelope addressed to: Comm	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 27, 2003						
Typed or printed Andrew S. Mark	s						
Signature	1	W			Date	Oct 27, 2003	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Howard L. Stein

Application No. : 10/619,298

Filed : July 14, 2003

For : MASSAGE BALL AND METHOD OF USE

Wayland, MA 01778 October 27, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to applicant's duty of disclosure requirements under 37 C.F.R. §§1.56, 1.97 and 1.98, applicant submits the following documents and information which may be considered material to the examination of this application. These documents and information are listed on the accompanying forms PTO/SB/08A and PTO/SB/08B (substitute for form PTO-1449). A copy of each of these documents and information is provided.

For certain information obtained from the world wide web, applicant is unable to identify the date upon which that information was available. However, in all such cases, the cited web pages and the products advertised thereon were available prior to the filing date of this application.

PCT publication WO 00/66065 A1 is a Japanese language document. An English language translation of this document is not available to applicant. This document refers to a foot sole massager which comprises a massage solplate and a massage rod. The massage soleplate includes some holes. Balls corresponding to the holes in size are arranged in the holes. Protrusions such as teeth may be provided on the massage soleplate. There are some curved teeth on the centre of the massage rod. Applicant is unaware of any disclosure in WO 00/66065 that relates to the heating or cooling of the balls, rod and/or solplate.

Applicant reserves the right to: 1) establish the patentability of the claimed invention over any of the documents or information provided herewith; 2) prove that any document or information may not be prior art; and/or 3) prove that any document or information may not be enabling for the teachings purportedly offered.

It is respectfully requested that the Examiner consider the documents and information presented herewith in examining the patentability of the presently claimed invention and indicate such by initialing and returning a copy of the enclosed substitute PTO-1449 form. It is also requested that the Examiner list each of these documents and information in the References Cited section of any patent that issues from this application.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action on the merits. Accordingly, no fee or certification is believed to be required. 37 C.F.R. §1.97(b)(3).

Respectfully submitted,

Andrew S. Marks (Reg. No. 33,259)

Agent for Applicant

47 Rice Road

Wayland, MA 01778 Tel: (508) 651-9926

Fax: (508) 545-1859

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

PATENT

Complete if Known 10/619,298 Application Number July 14, 2003 Filing Date **Howard Stein** First Named Inventor Art Unit 3764 Not yet assigned **Examiner Name**

(Use as many sheets as necessary)

Attorney Docket Number Stein-1

<u>-\$</u>			U.S. PATEN	DOCUMENTS	
Exampler Free s	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4,198,962	04-22-1980	McCauley	
		^{US-} 4,347,838	09-07-1982	McCauley	
		^{US-} 4,329,981	05-18-1982	Dungl	
		^{US-} 4,807,602	02-28-1989	Scarborough et al	
		^{US-} 5,056,507	10-15-1991	Yum	
		^{US-} 4,446,855	05-08-1994	Friedson	
		^{US-} 5,411,470	05-05-1995	Liptak et al.	
		^{US-} 5,785,668	07-28-1998	Shimizu	
		^{US-} 5,605,533	02-25-1997	Badilla	
		^{US-} 5,868,689	02-09-1999	Faroky et al	
		^{US-} 2002/0009118 A1	01-09-2003	Sabo et al	
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		FORFI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	т6
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Of Relevant I iguies / tppea.	<u> </u>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For USPTO Patent Documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

Translation is attached.

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Under the Paper	work Reduction A	ct of 1995, no persons ar	Compl te if Known				
Substitute for form 144	49/PTO		Application Number	10/619,298			
INFORMAT	TION DIS	CLOSURE	Filing Date	July 14, 2003			
STATEME	NT BY A	PPLICANT	First Named Inventor	Howard Stein			
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(Use as many sheets as necessary)		Examiner Name	Not yet assigned				
Sheet 2	of	3	Attorney Docket Number	Stein-1			

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8		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		http://info.product-finder.net/myss/Medium+Thermal +Massage+Ball.html (on-line catalog item - Prana Ball) - available prior to filing date	
		http://www.mcsports.com/product/index.jsp?productId=1368024 (Homedics Sole Therapy Deluxe Vibration Footbath w/INrfared & Rollers) - available prior to filing date	
		http://www.mcsports.com/product/index.jsp?productId=1283593 (on-line catalog item - Altus Massage Stick) - available prior to filing date	
		http://www.mcsports.com/product/index.jsp?productId=1283592 (on-line catalog item` - Altus Foot Massage and Balance Balls) - available prior to filing date	
		http://www.lazycomfort.com/acfootmas.html (on-line catalog item - Accu-Flex Foot Massager) - available prior to filing date	
		http://www.lazycomfort.com/footfootmas.html (on-line catalog item - Footsie Foot Massager) - available prior to filing date	
		http://www.lazycomfort.com/woodfootmas.html (on-line catalog item - Foot Rub Massagers) - available prior to filing date	
		B. FULLEM, "Planting the Pain in Your Foot - What Causes Plantar Fascitis and How to Deal with It," https://www.runningtimes.com/issues/02apr/pf.htm (April 2002)	
		http://www.widgets.ws/prod/Walter-Drake/Foot-Care/Hot-and-Cold-Foot- Massager.html (on-line catalog item - Hot and Cold Foot Massagers) - available prior to filing date	
		http://www.luckycat.com/cgi-bin/tbasgo2.pl?2001nov+massager1a=jpg&page17&HE-ROLL&400&228&1 (on-line-catalog item - Massage Roller) - available prior to filing date	

Examiner	Date	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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					Filing Date	July 14, 2003	
STATEMENT BY APPLICANT			CANT	First Nam d Inv ntor	Howard Stein		
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					Examiner Name	Not yet assigned	
Sheet	3	of	3		Attorney Docket Number	Stein-1	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		http://www.luckycat.com/cgi-bin/tbasgo2.pl?2001nov+massager2a=jpg&page17&HE-FT&400&200&1 (on-line catalog item - Foot Massager) - available prior to filing date	
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